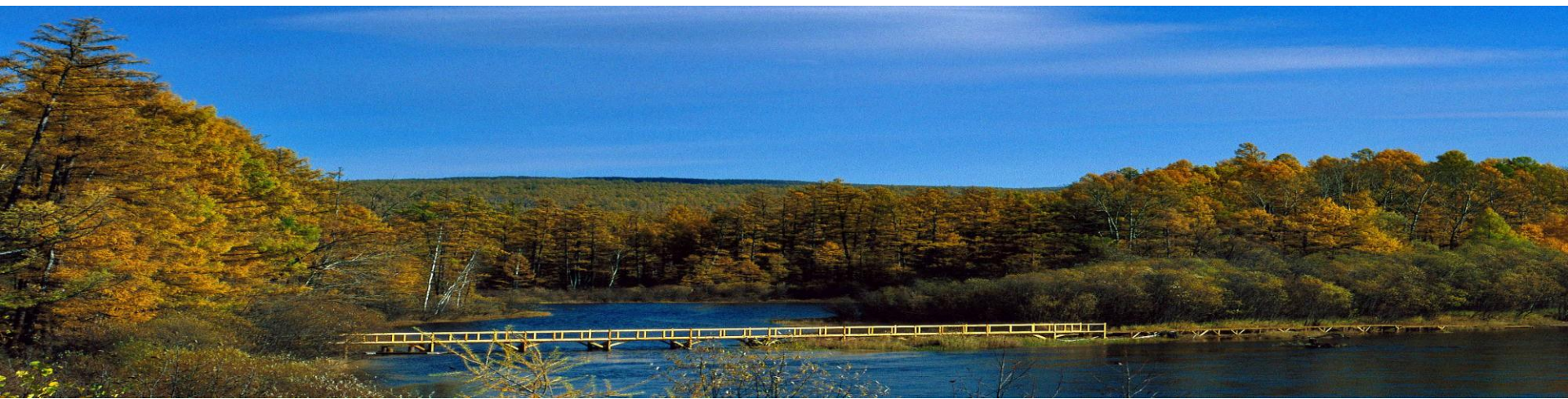


中国森林认证体系

China Forest Certification Scheme



李屹峰

国家林业局科技发展中心

STDC, State Forestry Administration, P.R.C.

2013.10.15 南浔

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背景 Background

●政府重视

20世纪90年代末，我国开始了森林认证的研究与探索，2001年正式启动国家森林认证体系建设工作，2010年底，国家森林认证体系建成并开始实施

●指导思想

坚持以邓小平理论、“三个代表”重要思想和科学发展观为指导，认真贯彻落实中央林业工作会议精神，以实现森林可持续经营，增强森林生态服务功能，提高林业产业持续发展能力为目标，科学规划，规范管理，分类指导，分步实施，**建立既符合国情林情又与国际接轨的国家森林认证体系**，促进现代林业又好又快发展。

基本原则

Fundamental principles

政府引导、社会监督

Government guiding and public supervising

市场驱动、企业自愿

Market driving and enterprise voluntary

统一管理、分类实施

Unification management and classify implement

先行试点、稳步推进

Pilot first and impellent steady



体系构架 Framework



发展历程 Milestones

启动中国森林认证体系建设，明确发展方向，成立管理机构
The development of China Forest Certification Scheme was initiated, with development directions identified and corresponding governing bodies established

《中共中央 国务院关于加快林业发展的决定》明确提出“积极开展森林认证工作，尽快与国际接轨”
The Resolution of the Central Committee of the Communist Party of China and the State Council on Accelerating Forestry Development clearly put forward the requirements to “actively conduct the work of forest certification and bring it in line with the international practices as soon as possible”

启动森林认证标准制定和能力建设工作
Forest certification standard development and capacity building were initiated

森林认证工作纳入中央财政预算并开展国际交流
The work of forest certification was listed under the central budgets and international exchange started to be conducted

开展森林认证试点
Pilot projects for forest certification were conducted

颁布森林经营认证标准和产销监管链认证标准
Forest Management Certification Standard and Chain of Custody Certification Standard were promulgated

国家认监委与国家林业局联合发布《关于开展森林认证工作的意见》
The Opinions on Conducting the Work of Forest Certification was jointly promulgated by the Certification and Accreditation Administration of China and the State Forestry Administration
成立全国森林可持续经营与森林认证标准化技术委员会
The National Technical Committee for Standardization of Sustainable Forest Management and Forest Certification was established

成立国家林业局森林认证工作领导小组
The Leading Group on Forest Certification of State Forestry Administration was established

成立中国森林认证管理委员会
The China Forest Certification Council was established

发布《国家林业局关于加快推进森林认证工作的指导意见》
The Guiding Principles of the State Forestry Administration on Accelerating the Work of Forest Certification was promulgated

颁布《森林经营认证审核导则》
Forest Management Certification Audit Directive was promulgated
举办第一期森林认证审核员培训班
The first training workshop on forest certification auditors was conducted
启动森林经营认证审核试点
Pilot projects for forest management certification auditing were initiated

颁布《中国森林认证实施规则》
The Implementation Rules on Forest Certification in China was promulgated
成立中林天合（北京）森林认证中心
The certification body named Zhonglin Tianhe (Beijing) Forest Certification Centre was established
启动国际互认
International endorsement was initiated

举办“积极开展森林认证，促进现代林业发展”的主题展览
The exhibition entitled “actively conducting forest certification and promoting modern forest development” was conducted
中国森林认证管理委员会正式成为PEFC国家会员
China Forest Certification Council was formally accepted as the PEFC national member
开展集体林认证试点
Pilot projects were initiated in collective forests
开通中国森林认证体系网站
China Forest Certification Scheme Website was launched

发布森林经营认证和产销监管链国家标准
National Forest Management Certification Standard and National Chain of Custody Certification Standard were promulgated
编写中国森林认证标识使用指南
The Use Guide of China Forest Certification Logo was compiled
正式向PEFC秘书处提交互认材料
Documents for Endorsement were formally submitted to the PEFC Secretariat
完成森林生态环境服务认证标准与审核导则等13项技术规范的公共咨询
The public consultation of 13 technical standards, including forest eco-environment services certification standard and audit directive, were completed
举办第二期森林认证审核员培训班
The second training workshop on forest certification auditors was conducted

举办了利益方论坛第一次会议
The first meeting of Stakeholder Forum was conducted
举办第三期森林认证审核员培训班
The third training workshop on forest certification auditors was conducted
完成了互认实地评估程序
Field assessment of PEFC endorsement procedure was finished
召开了森林认证试点现场会
The site meeting of pilot project for forest certification was conducted in Wang Qing country, Jilin province



认证标识

Certification Logo

带有 CFCC 声明和/或标识的产品--原料源自于可持续经营的森林或来源合法或无争议

The Logo verifies to the public that the certified products are originated from sustainably managed forests, or legal or no controversy



CFCC001-1



CFCCXXX-XX

XX%

CFCC认证

本产品来源于可持续经营的森林、再生和可控资源

www.cfcs.org.cn

认证范围

Scope of Certification

- 森林经营认证

Forest Management certification

- 产销监管链认证

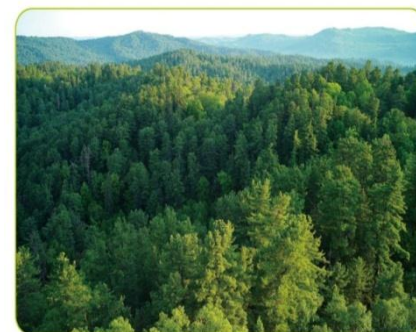
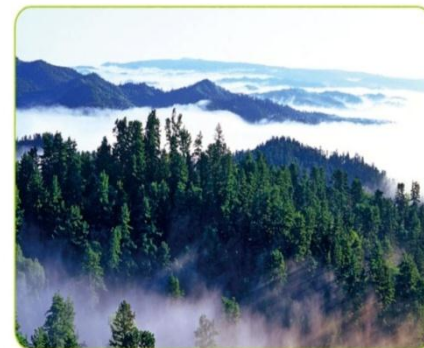
Certification for Chain of Custody

- 碳汇林认证

Carbon Forest Certification

- 竹林认证

Bamboo Forest Certification



认证范围

Scope of Certification

- 非木质林产品认证

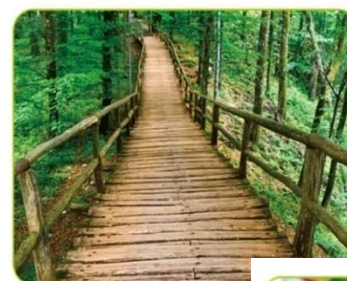
Non-timber Forest Products Certification

- 森林生态系统服务功能认证

Forest ecosystem services Certification

- 生产经营性珍贵稀有濒危物种认证

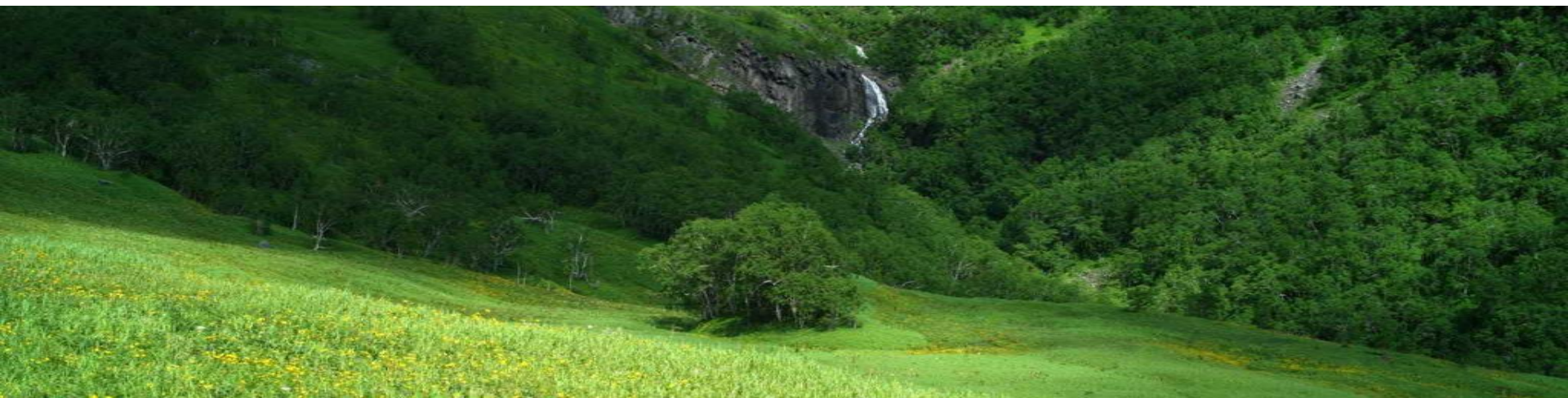
Certification for Commercially Managed
Precious, Rare and Endangered Species



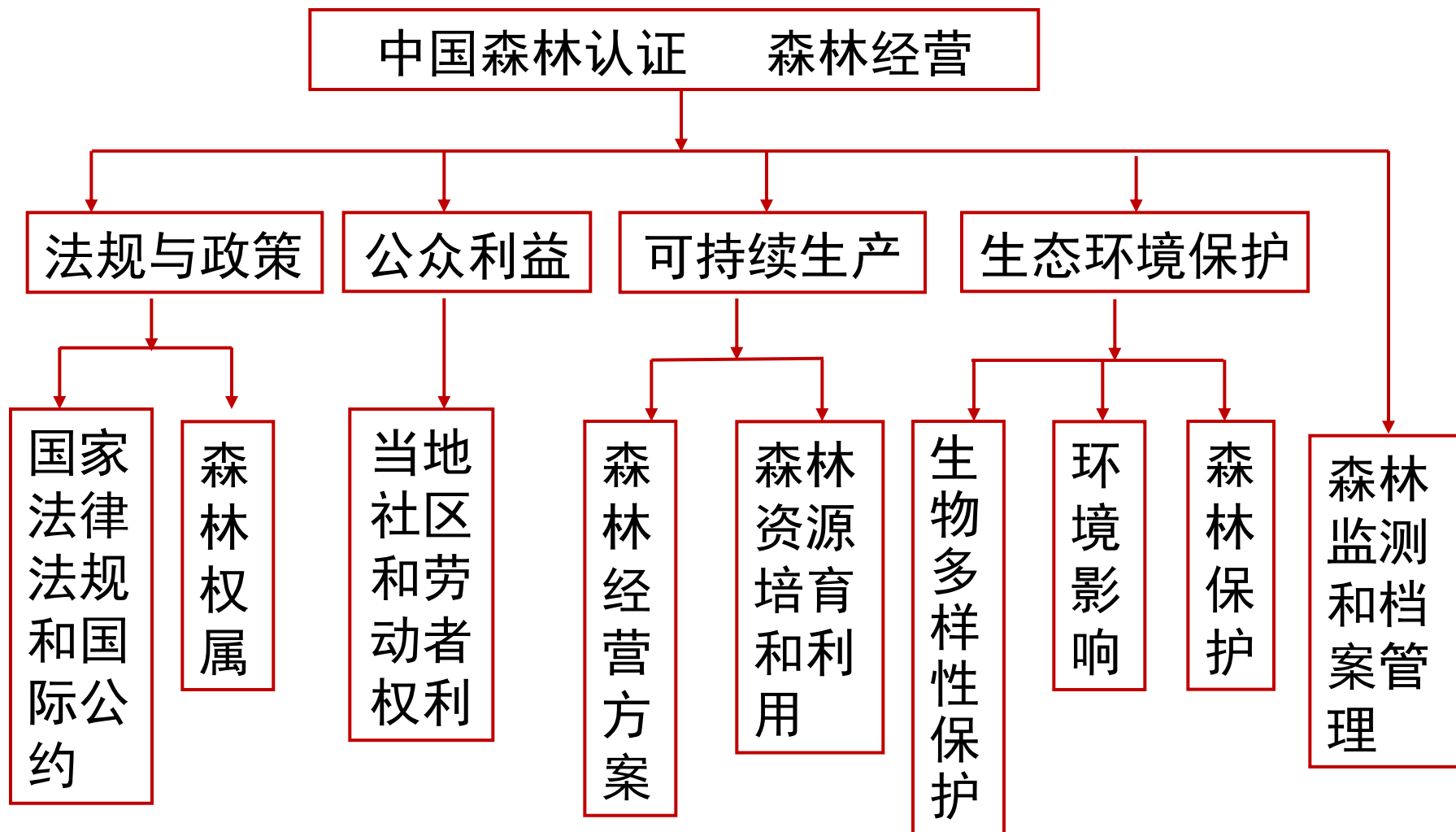
认证标准 Standard

国家标准

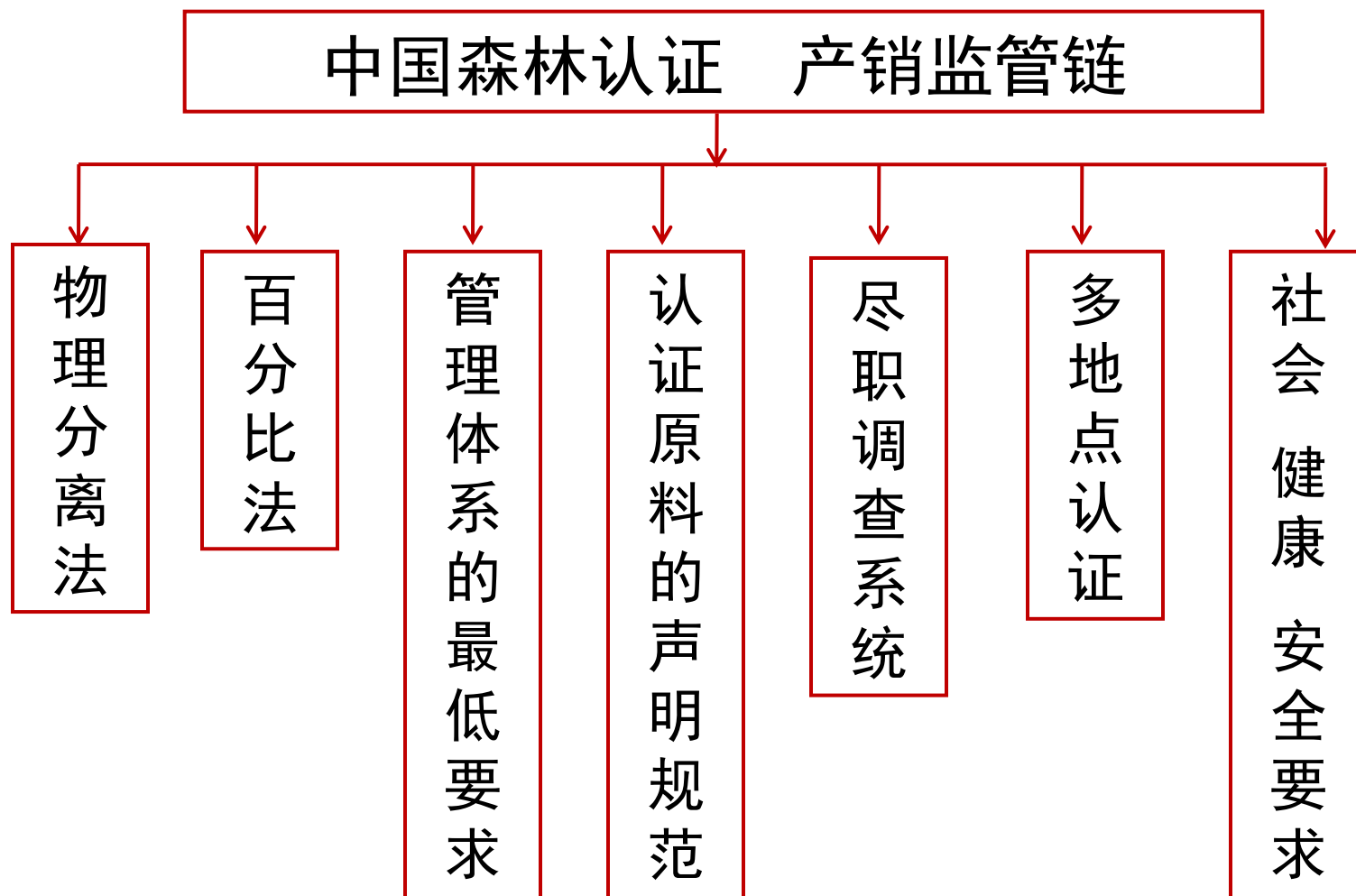
- 中国森林认证 森林经营（2012年12月1日实施）
- 中国森林认证 产销监管链（2012年12月1日实施）



认证标准 Standard



认证标准 Standard



行业标准

- 中国森林认证 森林生态环境服务：自然保护区（已报批）
- 中国森林认证 森林生态环境服务：自然保护区审核导则（已报批）
- 中国森林认证 生产经营性珍贵濒危野生动物饲养管理（已形成报批稿）
- 中国森林认证 森林经营操作指南（已形成报批稿）
- 中国森林认证 产销监管链操作指南（已形成报批稿）

行业标准

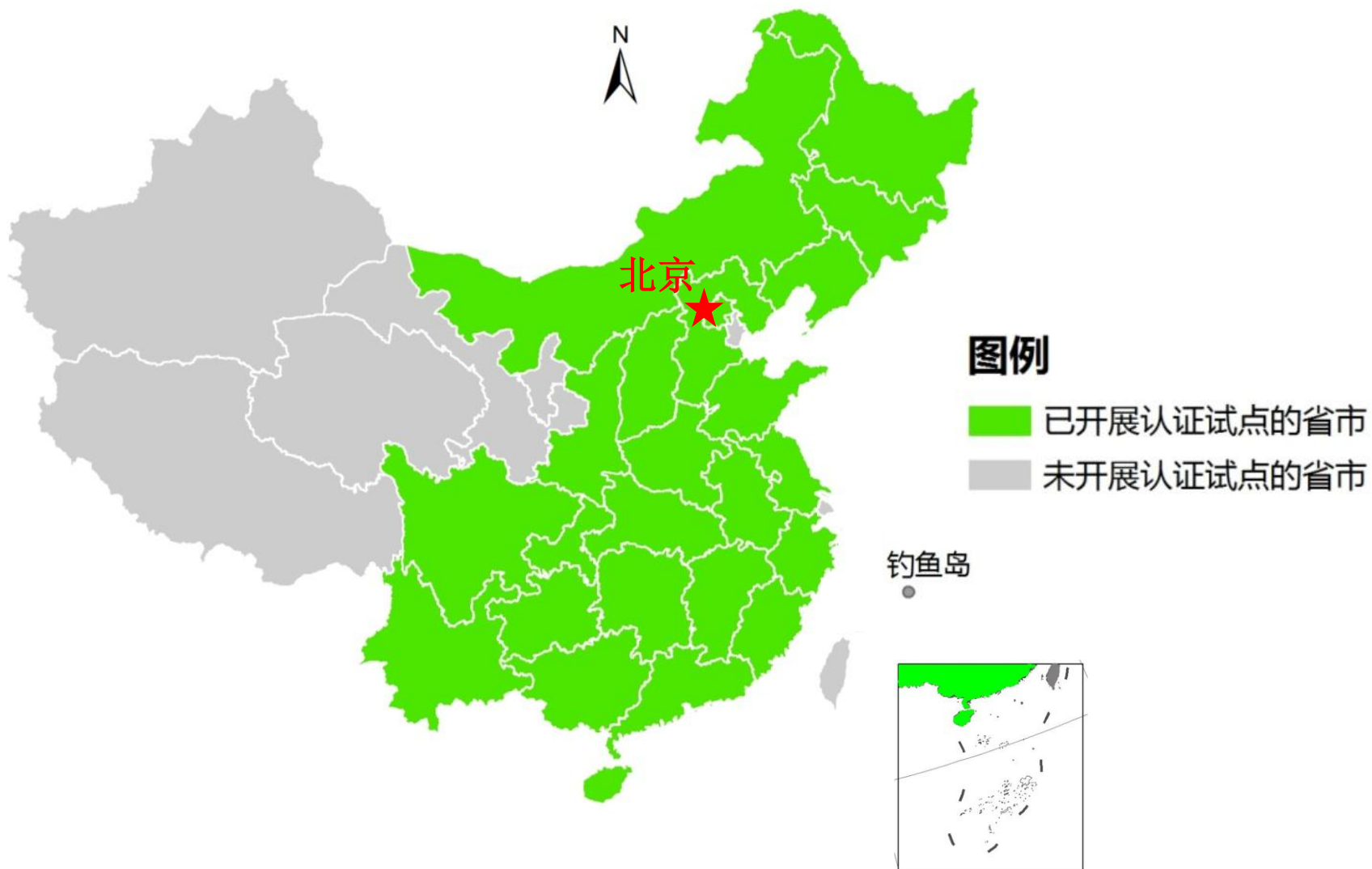
- 中国森林认证 人工林经营 （审定中）
- 中国森林认证 竹林经营 （审定中）
- 中国森林认证 竹林经营审核导则 （审定中）
- 中国森林认证 非木质林产品 （审定中）
- 中国森林认证 非木质林产品审核导则 （审定中）
- 中国森林认证 生产经营性珍贵濒危野生植物 （征求意见中）

认证机构 Certification Body

- 2009年4月批准成立中林天合（北京）森林认证中心
- 截至2013年初，我国已通过森林经营认证审核的林地为190多万公顷，4家企业通过产销监管链认证审核



认证试点 Pilot Project



国际交流

International Exchange & Cooperation

- 2011年，中国森林认证管理委员会正式成为PEFC国家会员，与PEFC的互认即将完成
- 与多个森林认证体系开展交流



措施 Measures

- 推行采购政策 To conduct public purchase policy
- 完善认证标准 To improve the Standard(FM & CoC)
- 国际互认 To carry out mutual recognition with international scheme



尽职调查系统

Due Diligence System (DDS)

- 尽职调查系统是通过信息收集、风险评估、风险减缓一系列程序、措施以实施尽职调查的框架系统

A framework of procedures and measures, namely information gathering, risk assessment and risk mitigation, to exercise due diligence

- 实施尽职调查目的是降低原料出自争议来源的风险 To minimize the risk that the procured material originates in controversial sources

禁止将争议来源投入市场 Timber or timber product(s) from unknown sources or from controversial sources shall not be included in product groups covered by the organisation's chain of custody

尽职调查系统

Due Diligence System (DDS)

- 尽职调查的步骤 Steps

- (1) 信息收集 Gathering information

- 原料/产品身份信息 Identification of the material/product

- 原料/产品所涉及的树种信息 Identification of tree species

- 原料采伐国家、亚国家地区和/或出具采伐许可
Country of harvest of the material and where applicable sub-national region and/or concession of harvest

尽职调查系统

Due Diligence System (DDS)

(2) 风险评估 Risk assessment

根据企业的风险评估结果，将原料供应分为“极低风险”类和“重大风险”类供货 “negligible” or “significant” risk category.

企业风险评估的内容应基于下列分析：

供应国或地区或涉及供货树种存在争议来源问题的可能性（简称为来源地层面的可能性） the likelihood that activities defined under the term controversial sources occur in the country / region of the supply or for the tree species of the supply (hereinafter referred to as the likelihood at the origin level)

供应链上无法判别的潜在争议来源的可能性（简称为供应链层面的可能性） the likelihood that the supply chain has not been able to identify a potential controversial source of supply (hereinafter referred to as the likelihood at the supply chain level).

尽职调查系统

Due Diligence System (DDS)

上述两个层面中任一层面的可能性被评定为“高”的情况下，将供应商划为“重大风险”类供应商 Classify all supplies as “significant” risk where one or both levels are assessed as having high likelihood

Likelihood on supply chain level	High	Significant Risk	Significant Risk
	Low	Negligible Risk	Significant Risk
	Likelihood on origin level		
	Low		High

高	供应链层面可能性	重大风险	重大风险
低		极低风险	重大风险
		来源地层面可能性	
		低	高

尽职调查系统

Due Diligence System (DDS)

(3) 重大风险供货管理 Management of significant risk supplies

总体要求 General

企业应要求其供应商提供额外信息及证据,并对供应商建立一套第二方或第三方审核程序 The organisation shall request the supplier to provide additional information and evidence, and establish a second or third party verification programme for supplies classified as “significant” risk

供应链确定 Identification of the supply chain

现场核查 On-site inspections

改进措施 Corrective measures



谢谢

欢迎访问中国森林认证体系网站
<http://www.cfcs.org.cn> 了解更多信息